



DIVISION OF  
ENFORCEMENT

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

May 5, 2023

Via ECF

The Honorable John P. Cronan  
United States District Judge  
U.S. District Court for the Southern District of New York  
500 Pearl Street  
New York, NY 10007-1312

Re: ***SEC v. Cole*, No. 1:19-CV-11148-JPC**  
**Joint Status Letter**

Dear Judge Cronan:

Pursuant to this Court's October 19, 2020 Order (*see* ECF No. 26), the U.S. Securities and Exchange Commission respectfully submits this status letter to update the Court regarding the proceedings in *United States v. Neil Cole*, 19-CR-00869-ER.

Since the last status letter was filed on February 6, 2023 (*see* ECF No. 42), Judge Ramos, upon Mr. Cole's request and with the consent of the government, adjourned the sentencing date in the case to July 25, 2023.

The next status letter in this matter will be filed on or before August 3, 2023.

Respectfully submitted,

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